

IC06 Rec'd PCT 30 SEP 2005

PCT #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS

: John G. Lew, et al.

FOR

: **BREAK-AWAY CRADLE OR SUB-  
ASSEMBLY MOUNT AND RETAINER  
WASHER ASSEMBLY**

SERIAL NO.

: 10/521,954

FILED

: 21 January 2005

INTERNATIONAL APPLICATION

: PCT/US2003/023175

ATTORNEY DOCKET NO.

: **CSAZ 2 00193**

**STATUS INQUIRY AND REQUEST FOR FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The captioned patent application was filed as a Submission Under 35 U.S.C. 371 on 21 January 2005 (a copy of the transmittal letter is attached). The return Acknowledgment Postcard was received, indicating that the file was accorded Serial No. 10/521,954 and was received on 21 January 2006 (copy attached). Applicants have received no further Action or Communication from the United States Patent and Trademark Office in connection with this application. Information regarding the status of the application and a Filing Receipt are requested.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

28 September 2005  
Date

Timothy E. Nauman  
Timothy E. Nauman  
Reg. No. 32,283  
1100 Superior Avenue, 7th Floor  
Cleveland, OH 44114-2579  
216-861-5582

CERTIFICATE OF MAILING

I certify that this Status Inquiry and Request for Filing Receipt is being deposited with the United States Postal Service as First Class mail under 37 C.F.R. § 1.8, and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

<u>28 September 2005</u> Date	<u>Mary M. Schriener</u> Mary M. Schriener
----------------------------------	---

# BEST AVAILABLE COPY

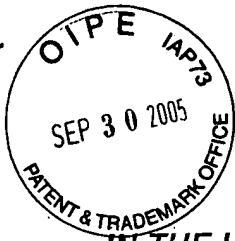
PTO-1390 (Rev. 12-2004)

Approved for use through 3/31/2007. OMB 0651-0021.  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER</b> <b>CSAZ 2 00193</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US2003/023175</b>	INTERNATIONAL FILING DATE <b>25 July 2003</b>	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  PRIORITY DATE CLAIMED <b>25 July 2002</b>
TITLE OF INVENTION <b>BREAK-AWAY CRADLE OR SUB-FRAME MOUNT AND RETAINER WASHER ASSEMBLY</b>		
APPLICANT(S) FOR DO/EO/US <b>LEW, John G. and BYKOWSKI, Scott</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <b>(2)</b></li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
		PCT/US2003/023175		CSAZ 2 00193	
21. The following fees are submitted:				Applicant use	Office use only
<input checked="" type="checkbox"/> a) Basic national fee..... \$300.00				\$ 300.00	
<input checked="" type="checkbox"/> b) Examination fee..... \$200.00				\$ 200.00	
<input checked="" type="checkbox"/> c) Search fee..... \$500.00				\$ 500.00	
				\$ 1,000.00	
TOTAL OF ABOVE CALCULATIONS = \$1000.00					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	18 - 20 =	0	x \$50.00	\$	
Independent claims	3 - 3 =	0	x \$200.00	\$	
			+ \$360.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					
TOTAL OF ABOVE CALCULATIONS = \$1,000.00					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
SUBTOTAL = \$					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE = \$1,000.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property (2)				\$ 80.00	
TOTAL FEES ENCLOSED = \$1,080.00					
Amount to be refunded:					
Amount to be charged					
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,000.00</u> to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0308</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Timothy E. Nauman					
FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP					
1100 Superior Avenue, 7th Floor					
Cleveland, Ohio 44114					
SIGNATURE <u>Timothy E. Nauman</u>					
NAME Timothy E. Nauman					
32,283					
REGISTRATION NUMBER					



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

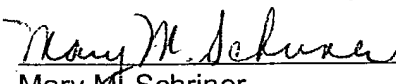
IN RE APPLICATION OF : John G. Lew, et al.  
FOR : **BREAK-AWAY CRADLE OR SUB-  
FRAME MOUNT AND RETAINER  
WASHER ASSEMBLY**  
SERIAL NO. : Unknown  
INTERNATIONAL APPLICATION NO. : PCT/US2003/023175  
INTERNATIONAL FILING DATE : 25 July 2003  
ART UNIT : Unknown  
ATTORNEY DOCKET NO. : **CSAZ 2 00193**

**Mail Stop: PCT – DO/EO/US**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

"Express Mail" Mailing Label Number: EV 471022019 US  
Date of Deposit: January 21, 2005

I hereby certify that the attached **TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)** is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: MAIL STOP PCT – DO/EO/US, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

  
\_\_\_\_\_  
Mary M. Schriner

N:\AGTZ\200051\US\IMMS0000716V001.doc

**BEST AVAILABLE COPY**

DT02 Rec'd PCT/PTO 21 JAN 2005

NO.

Inventor/Applicant: Lew, et al.

Pat. No. \_\_\_\_\_

Ser. No. \_\_\_\_\_

Title BREA-AWAY CRADLE OR

Filed 12/10/04

Date 21 JAN 2005

Attorney Docket No. CSA2-100145 Initials MMS

☒ PATENT/DESIGN APPLICATION

☐ AMENDMENT (Due \_\_\_\_\_)

\_\_\_\_ New Application Transmittal

\_\_\_\_ Transmittal(s)

\_\_\_\_ Cont. \_\_\_\_\_ Div. \_\_\_\_\_ C-I-P \_\_\_\_\_ Provisional

\_\_\_\_ Extension of Time (For \_\_\_\_\_ month(s))

\_\_\_\_ CPA Transmittal \_\_\_\_\_ PCT Request

☐ NOTICE OF APPEAL

\_\_\_\_ Declaration/Power of Atty.

☐ PCT DEMAND (Due \_\_\_\_\_)

\_\_\_\_ pgs. Specification

☒ CHECK(S) in Amount \$ 1,000.00

\_\_\_\_ pgs. Claims

☐ OTHER \_\_\_\_\_

\_\_\_\_ total \_\_\_\_\_ independent

\_\_\_\_ pgs. Abstract

\_\_\_\_ Sheet(s) of drawing(s) Figs. \_\_\_\_\_

\_\_\_\_ formal \_\_\_\_\_ informal

☒ Preliminary Amendment

\_\_\_\_ Request and certification under

\_\_\_\_ 35 U.S.C. 122(b)(2)(B)(i)

\_\_\_\_ Claim for Right of Priority

\_\_\_\_ Appln. \_\_\_\_\_

\_\_\_\_ Filed \_\_\_\_\_

\_\_\_\_ Priority document(s)

\_\_\_\_ Small Entity Statement(s)

\_\_\_\_ Final Fee \_\_\_\_\_ Publication Fee

\_\_\_\_ Response to missing parts

☒ ASSIGNMENT

☒ Transmittal \_\_\_\_\_ Confirmatory

☒ INFORMATION DISCLOSURE STATEMENT

☒ PTO Form 1449 \_\_\_\_\_ Refs.

10/521954

RECEIPT IS HEREBY ACKNOWLEDGED

DOCKETED

BEST AVAILABLE COPY